

**STATE OF ILLINOIS**  
**INTERNAL AUDIT ADVISORY BOARD**

Web Address: [HTTP://SIAAB.AUDITS.UILLINOIS.EDU](http://SIAAB.AUDITS.UILLINOIS.EDU)

**MINUTES**

**SIAAB Fall Government Auditing Conference**  
**October 24, 25 and October 26, 2017**

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**ROLL CALL**

**Members Present/Location:**

H. Jay Wagner (Chair), Office of the Attorney General - Springfield  
Leighann Manning (Vice Chair), Office of the Treasurer (October 26, 2017 only) - Springfield  
Amy DeWeese, Department of Human Services - Springfield  
Stephen Kirk, Department of Transportation - Springfield  
Jamie Nardulli, Department of Healthcare and Family Services - Springfield  
Brent Nolen, Illinois State Police - Springfield  
Jack Rakers, Central Management Services - Springfield  
Gary Shadid, Office of the Comptroller - Springfield  
Julie Zemaitis, University of Illinois - Springfield

**Absent**

Stell Mallios, Office of the Secretary of State

**MINUTES**

The SIAAB Fall Government Auditing Conference was held on October 24, 25 and 26, 2017 at the Northfield Center, 3280 Northfield Drive, Springfield, IL. The Conference was scheduled, in accordance with the Fiscal Control and Internal Auditing Act requirements, to provide internal audit training only and accordingly, there were no Board actions taken, nor were there any motions taken or voted upon during the training event. 151 people attended at least one day of the Conference.

**OCTOBER 24, 2017**

Registration for the Conference began at 7:45 am. Opening remarks were made at 8:25 am by Mr. Jay Wagner, SIAAB Chair. The following presentations were made:

- GATA Update was presented by Carol Kraus, Director of Grant Accountability and Transparency Unit, Governor's Office of Management and Budget
- Cyber-Security Concerns in State Government by Chris Hill, Acting Chief Information Security Officer, Department of Innovation and Technology (DoIT)
- Audit Reports: IIA Practice Guide by Debi Roth, CIA, the Institute of Internal Auditors (IIA)
- Ethics in State Government, by JoAnn Johnson, Colonel, Illinois State Police

- Fraud in Government – A Story of Recovery, by Clay McCord, Finance Director, and Mukesh Singh, Compliance Officer, Miami Township, Ohio

The first day of the Conference adjourned at 4:20 pm.

### **OCTOBER 25, 2017**

Registration for the 2<sup>nd</sup> day of the Conference began at 7:45 am. Opening remarks were made at 8:25 am by Mr. Jay Wagner, SIAAB Chair. The following presentations were made:

- Wannacy: A Complete Compromise Walkthrough, by Spencer Strausbaugh, Teddy Guzek, Senior Consultants, Protiviti
- Eight Processes Needed to Securely Govern and Manage Social Media by Kyle Shockley, Manager of Security & Privacy, Protiviti
- Auditor General Update, by Jane Clark, Financial Compliance Audit Director, Bill Sampias, Information Systems Audit Director, and Mike Paoni, Performance Audit Senior Manager
- Maintaining the Public Trust, by Ann Spillane, Chief of Staff for the Illinois Attorney General
- Two concurrent sessions concluded the day as follows:
  - Rapid Results, by John Baranzelli, Deputy Director, Office of Rapid Results at Illinois Department of Central Management Services
  - University Auditors' Roundtable Discussion, moderated by Julie Zemaitis, Executive Director of University Audits

The second day of the Conference adjourned at 4:20 pm.

### **OCTOBER 27, 2016**

Registration for day 3 began at 7:45 am.

Concurrently the following roundtable events were held:

- Chief Internal Auditor Roundtable, moderated by Steve Kirk, Chief Internal Auditor for the Illinois Department of Transportation and for the final hour by Doug Tinch, Chief Internal Auditor for the Department of Innovation and Technology.
- IT Auditor Roundtable, moderated by Doug Tinch, Chief Internal Auditor for the Department of Innovation and Technology, and for the final hour by Jay Wagner, Chief Internal Auditor for the Illinois Attorney General.
- Staff Auditor Roundtable, moderated by former Chief Internal Auditors Paula Sorensen, Deputy Chief Fiscal Officer for the Capital Development Board and Tad Huskey, Deputy Chief Fiscal Officer for the Office of the Attorney General.

The third and final day of the Conference adjourned at 11:50 am.